



SUBJECT DATASHEET

Bank, securities and stock market law

BMEGT55M009

I. SUBJECT DESCRIPTION

1. SUBJECT DATA

Subject name

Bank, securities and stock market law

ID (subject code)

BMEGT55M009

Type of subject

contact lessons

Course types and lessons

<i>Type</i>	<i>Lessons</i>
Lecture	2
Practice	0
Laboratory	0

Type of assessment

mid-term
grade

Number of credits

3

Subject Coordinator

<i>Name</i>	<i>Position</i>	<i>Contact details</i>
Dr. Grad-Gyenge Anikó	associate professor	grad-gyenge.aniko@gtk.bme.hu

Educational organisational unit for the subject

Department of Business Law'

Subject website

<https://edu.gtk.bme.hu>

Language of the subject

magyar - HU

Curricular role of the subject, recommended number of terms

Direct prerequisites

Strong None

Weak None

Parallel None

Exclusion None

Validity of the Subject Description

Approved by the Faculty Board of Faculty of Economic and Social Sciences, Decree No: 580387/26/2025 registration number. Valid from: 2025.05.28.

2. OBJECTIVES AND LEARNING OUTCOMES

Objectives

The course aims to provide students with a comprehensive overview of banking law and capital market law. During the course, besides the current Hungarian regulation, we pay increased attention to international trends and the legal development of the European Union's legislation. Students will learn basic banking, securities, stock market concepts, and regulatory areas (e.g., banking and capital market supervision, compliance, financial and investment transactions, etc.). Besides, they can learn about new challenges such as financial innovation (Fintech) and current related economic criminal law issues.

Academic results

Knowledge

1. the basic concepts of banking law, the organizational and operational regulations concerning the financial intermediation system
2. regulation of financial, capital market, and investment services
3. the regulation of individual securities and the domestic and EU system of capital market legislation
4. current regulatory issues for financial innovation (Fintech)
5. provisions of criminal economic law relevant to the course's subject (e.g., insider dealing and market power abuse).

Skills

1. to review the system and context of banking, securities, and capital market regulations
2. make proper use of the basic concepts of banking law, securities law, and capital market law
3. the interpretation and application of essential banking and capital market law provisions.

Attitude

1. thinks consciously and responsibly in the field of finance law.
2. is open to critical self-assessment, active learning methods, experimental style.

Independence and responsibility

1. -

Teaching methodology

The subject's teaching is based on the presentation of the banking system, securities, stock exchange law regulation, their connections, and some challenges. In addition to the transfer of knowledge, we illustrate through practical examples, and students can conduct research based on independent work.

Materials supporting learning

- Előadásanyagok, jegyzet.

II. SUBJECT REQUIREMENTS

TESTING AND ASSESSMENT OF LEARNING PERFORMANCE

General Rules

The learning outcomes stated in point 2.2 are assessed on the basis of two midterm test. To complete the course, a minimum of 50% of the total score of the midterm tests and attendance at 70% of the lectures are required. An additional 30% of points can be earned by completing the assigned tasks.

Performance assessment methods

Mid-term tests: the complex, written assessment of the students' knowledge and skill type competencies in the form of a written test or online test questions. The test may consist of test questions that assess the interpretation of individual concepts and the recognition of relationships between them, and essay questions that evaluate lexical knowledge and the ability to synthesize information. The time allotted for the test is 30 to 90 minutes.

Percentage of performance assessments, conducted during the study period, within the rating

- Mid-term tests: 70
- partial performance evaluation (homework): 30

Percentage of exam elements within the rating

Conditions for obtaining a signature, validity of the signature

Az előadások legalább 70%-án való részvétel.

Issuing grades

Excellent	91
Very good	85–90
Good	76–84
Satisfactory	64–75
Pass	50–63
Fail	< 50

Retake and late completion

According to TVSz.

Coursework required for the completion of the subject

Participation in contact lessons	28
homework	10
preparation for assessments	52
total	90

Approval and validity of subject requirements

Consulted with the Faculty Student Representative Committee, approved by the Vice Dean for Education, valid from: 05.05.2025.

III. COURSE CURRICULUM

THEMATIC UNITS AND FURTHER DETAILS

Topics covered during the term

To achieve the learning outcomes set out in 2.2, the subject consists of the following thematic blocks. The syllabuses of the courses announced in each semester will be scheduled according to the calendar and other constraints.

- 1 Bevezetés, a pénzügyi piac nemzetközi szabályozása, az EU jogfejlődése
- 2 Fintech, a hitelintézetek egyes finanszírozási műveletei, pénzügyi fogyasztóvédelem
- 3 A tőkepiac szabályozása az Európai Unióban, bevezetés a hazai szabályozás rendszerébe, tőzsdejogi alapok
- 4 Bevezetés az értékpapírhoz, gazdasági büntetőjog
- 5 A biztosítók és szolgáltatásaik, valamint a prudens működés követelményei, a felügyeleti rendszer, egyes bankügyletek szabályozása

Additional lecturers

Dr. Gondos Kitti Katalin egyetemi tanársegéd gondos.kitti@gtk.bme.hu

Approval and validity of subject requirements